

Please supply the following Information for you Compliance Letter:

Your Name		
Broker Dealer Name		
Compliance Officer Name		
Address		
Address		
City		
State		
Zip		

Note: The information supplied here will appear on your compliance letter. Once you have completed this letter, then attach a NAFEP corporate brochure and send to your compliance officer.

In order to get a copy of the brochure contact your general agent or call NAFEP at (801) 266-9900 x111.

Click here to see the compliance letter: [View Letter](#)



The National Association of Financial and Estate Planning

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Salt Lake City, UT 84107 * FAX (801) 266-1019
www.nafep.com

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Roy Barker, VP Operations,
BS Economics, CEA®
Scott Janko, VP Administration
BBA Bus, MSM Bus, CEA®
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BS Accounting, Masters of Prof. Acct "Tax"
Ben Barker, Finance Manager
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Robert (Rusty) Tweed, RIA, CEA®

(Date)

(BD name)

Attn: (Compliance Officer Name)

(Street Address)

(City, State Zip)

Re: Registered Rep (Rep Name), Request For Approval, Disclosure

Dear (Mr. or Ms.) (Name):

Mr. (or) Mrs. (Rep last name) requests compliance approval to utilize the designation, Certified Estate AdvisorSM (CEA[®]). This letter is intended to acquaint broker-dealer compliance departments with the CEA[®] certification which NAFEP provides to registered reps, CPAs and attorneys across the nation. NAFEP is a membership organization, training professionals in estate planning and certifying those who qualify. NAFEP is not a broker-dealer, we have no securities licensing and do no financial planning, money management, etc.

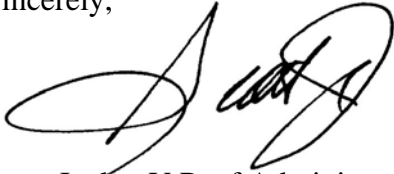
Certified Estate AdvisorSM. The CEA[®] is available only to registered securities reps, life/annuity agents, attorneys and CPAs. The credential has been reviewed by the NASD and meets their requirements for listing on the NASD web site. New NAFEP members must complete the pre-requisite Certified Estate AdvisorSM course and pass the comprehensive CEA[®] exam. Members who pass the exam are certified as CEA[®]. Certified members must maintain their professional licenses and take on-going continuing education in order to maintain the CEA[®] credential. The course material is recognized by the CFPTM Board of Standards and most state insurance departments for 15 hours of continuing education credit. The CEA[®] training is supplemented by ongoing consulting, communications and seminars provided to members.

Approval To Use CEA[®]. Your rep's specific request is for approval to use the NAFEP created Certified Estate AdvisorSM designation. The recognition and support for the CEA[®] should make your decision easy. This training and the certification will greatly enhance your rep's value to the clients and your organization. The certification has been reviewed by NASD for listing on nasd.com, at this address:

http://apps.nasd.com/investor_Information/resources/designations/AllDesigByAcronym.asp

Please look up our Web site, www.nafep.com, for more information about NAFEP. Don't hesitate to contact me if I can help you in any way.

Sincerely,

A handwritten signature in black ink, appearing to read 'Scott Janko', written in a cursive style.

Scott Janko, V.P. of Administration